IECRE OPERATIONAL DOCUMENT

IEC System for Certification to Standards relating to Equipment for use in Renewable Energy applications (IECRE System)

Finances
CONTENTS

1 Annual dues ................................................................. 3
2 Application fees ......................................................... 3
3 WE Certificate, Statement & Report fees ......................... 4
   3.1 Selection of an annual “lump sum” fee ...................... 4
   3.2 Type certificate, Type certificate RNA or Component certificate for RNA .... 4
   3.3 Component certificate excluding Component certificate for RNA .......... 4
   3.4 Project certificate ................................................. 4
   3.5 Project Design certificate ....................................... 4
   3.6 Prototype certificate ............................................. 4
   3.7 Modification of certificate and Provisional certificate ........... 5
   3.8 Conformity statement .......................................... 5
   3.9 Test report ............................................................ 5
4 PV Certificate, Statement & Report fees .......................... 5
   4.1 Reserved .............................................................. 5
   4.2 Extraordinary Annual Coverage Fee ....................... 5
5 ME Certificate, Statement & Report fees ......................... 6
   5.1 Test Report .......................................................... 6
   5.2 Feasibility Statement .............................................. 6
   5.3 Conformity Statement ............................................ 6
   5.4 Component Certificate .......................................... 6
   5.5 Prototype Certificate ............................................ 6
   5.6 Type Certificate .................................................... 6
   5.7 Project Certificate ................................................ 6
   5.8 Publication .......................................................... 6
   5.9 Form .................................................................. 6
6 Payment of Invoices ...................................................... 6
7 Remedial Action in the Event of a Funding Shortfall .......... 6
8 Applicability of Fees ..................................................... 6
9 Promotion Budget Spending ........................................... 7
10 IECRE Account .......................................................... 7
1 Annual dues

1.1 Invoices for the running year are issued by the IECRE Secretariat in January and forwarded to the IECRE Member Bodies.

1.2 In accordance with IEC CA 01 and IECRE 01-S, Basic Rules, IECRE Member Bodies are required to settle their annual dues by June 30th of the same year by making payment to the IECRE account. (See Section 7 of this OD).

1.3 Calculation method for the annual dues:

1.3.1 As a part of the annual budgeting process, the total of the annual dues will be proposed and endorsed by the REMC and submitted with the Budget package to CAB for formal Approval.

- Member Bodies are subjected to a flat Annual Dues (CHF 7 000) for on-going IECRE membership.
- Member Bodies are subjected to a flat Annual Dues (CHF 500) per approved RECB, RECTF, REIB, and/or RETL.

1.3.2 The final calculation for the National Dues for the following year will be made by the end of December of the preceding year, or shortly thereafter, seeing that among the calculation factors are the number of RECBs, REIBs, and RETLs that are in the System on December 31st of that preceding year, as well as other fees from other revenue streams that are not finalized until the end of the year or shortly into the following year.

2 Application fees

2.1 An application fee shall be paid by new Member Bodies, RECBs, RECTFs, RETLs and REIBs applying to join the IECRE and/or one or more Sectors of the IECRE.

2.2 The following Application fees shall be paid:

- For new Member Body membership in the IECRE: CHF 2 000 per Member country
- For new participation in one of the Sectors (assessment application fee, eg): 1 000 CHF per RECB, 1 000 CHF per RECTF, 1 000 CHF per RETL and CHF 1 000 per REIB.
- For extension of scope (assessment application fee): CHF 1 000 CHF per RECB, CHF 1 000 per RECTF (stage change, eg), CHF 1 000 per RETL and CHF 1 000 per REIB.
- For administrative extension of scope (without assessment): CHF 500 CHF per RECB, CHF 500 per RECTF, CHF 500 per RETL and CHF 500 per REIB.
- For re-assessment (assessment application fee): 1 000 CHF per RECB, 1 000 CHF per RECTF, 1 000 CHF per RETL and CHF 1 000 per REIB.

2.3 Invoices are issued by the IECRE Secretariat upon notification of provisional acceptance of the applications and forwarded to the relevant Member Body or RECBs/RECTFs/RETLs/REIBs, as applicable.

2.4 Member Bodies and RECBs/RECTFs/RETLs/REIBs are required to settle the application fee no later than 30 days after receipt of the relevant invoice, to the IECRE account.

Note: The processing of the application and the related administration of the peer assessment process is contingent upon the settlement of the application fees.
3  WE Certificate, Statement & Report fees (effective from 01 January 2024)

For these deliverables, two options are available: a “lump sum” fee (Clause 3.1) or a per statement/certificate/report fee (Clauses 3.2 to 3.8).

The “lump sum” fee applies to a specific calendar year and includes all statements/certificates/reports (incl. revisions) issued in that calendar year. The per commissioned turbine fee (Clause 3.1, applicable until 31 December 2021), is no longer in effect.

The non “lump sum” fees specified in Clauses 3.2 to 3.8 will be invoiced by the IECRE Secretariat to the RECB issuing the certificate or statement who will be responsible for further invoicing to the OEM (Clauses 3.2, 3.3, 3.6, 3.7 and 3.8) or End User (Clauses 3.4 and 3.5).

3.1 Selection of an annual “lump sum” fee in lieu of certificate, statement and report fees listed in Clauses 3.2 to 3.8. The following limitations and conditions apply:

- During the calendar year for which a “lump sum” option was selected, the election cannot be changed after the invoice has been issued (as per Clause 3.11).
- Prior to invoicing, the IECRE Secretariat will request the relevant RECB to identify which annual fee option is selected for each OEM or End User. This information shall be provided to the IECRE Secretariat (as per Clause 3.11).

The following “lump sum” options are available:

1. Annual “lump sum” payment of CHF 40 250 per wind turbine OEM or End User which negates all fees specified in clauses 3.2 to 3.8.

2. Annual “lump sum” payment of CHF 17 250 per wind turbine OEM or End User which negates all fees specified in clauses 3.2 to 3.8, but with a maximum of 2 new certificates issued per year. Additional certificate and corresponding statement fees are invoiced as specified in clauses 3.2 to 3.8.

3. Annual “lump sum” payment of CHF 4 025 per component OEM which negates all fees specified in clauses 3.2 to 3.8.

4. Annual “lump sum” payment of CHF 1 750 per component OEM which negates all fees specified in clauses 3.2 to 3.8, but with a maximum of 2 new certificates issued per year. Additional certificate and corresponding statement fees are invoiced as specified in clauses 3.2 to 3.8.

The following non “lump sum” certificate and statement options are available (Clauses 3.2 to 3.8):

3.2 Type certificate, Type certificate RNA or Component certificate for RNA  CHF 5 750 / certificate

3.3 Component certificate excluding Component certificate for RNA  CHF 575 / certificate

3.4 Project certificate  CHF 5 750 / certificate

3.5 Project Design certificate  CHF 4 600 / certificate

3.6 Prototype certificate (according to scope):

1. Certificates as in clause 3.2  CHF 1 150 / certificate

2. Certificates as in clause 3.3  CHF 230 / certificate
3.7 Modification of certificate and Provisional certificate

A new Provisional certificate is assessed based on the associated fee in clauses 3.2 to 3.5. Revision of a Provisional certificate to a Final certificate (i.e., clarification of any outstanding issues) or modification of an existing certificate are assessed by scope:

1. Certificates as in clause 3.2  
   CHF 575 / change
2. Certificates as in clause 3.3  
   CHF 115 / change
3. Certificates as in clauses 3.4 and 3.5  
   CHF 575 / change

3.8 Conformity statement (according to scope) associated with:

1. Certificates as in clause 3.2  
   CHF 575 / statement
2. Certificates as in clause 3.3  
   CHF 115 / statement
3. Certificates as in clauses 3.4 and 3.5  
   CHF 575 / statement

3.9 Test report  
   CHF 575 / report

3.10 Fees for Anemometer Calibrations

<table>
<thead>
<tr>
<th>Total # of reports/year</th>
<th>fee/year</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 – 250 reports</td>
<td>CHF 288</td>
</tr>
<tr>
<td>251 – 500 reports</td>
<td>CHF 575</td>
</tr>
<tr>
<td>501 – 1,000 reports</td>
<td>CHF 1 150</td>
</tr>
<tr>
<td>1,001 – 2,000 reports</td>
<td>CHF 2 300</td>
</tr>
<tr>
<td>2,001 – 4,000 reports</td>
<td>CHF 4 600</td>
</tr>
<tr>
<td>4,001 – 8,000 reports</td>
<td>CHF 9 200</td>
</tr>
<tr>
<td>8,001 – 16,000 reports</td>
<td>CHF 18 400</td>
</tr>
<tr>
<td>16,001 – 32,000 reports</td>
<td>CHF 36 800</td>
</tr>
</tbody>
</table>

3.11 “Lump Sum” Selection Information

A request for selection of the “lump sum” option for a specific calendar year will be sent by the IECRE Secretariat to RECBs during the last quarter of the preceding calendar year. All “lump sum” option selections must be forwarded to the IECRE Secretariat by correspondence by the end of the first quarter of the calendar year applicable to the selection.

If an OEM or End User who wishes to select a “lump sum” option joins the IECRE System after the first quarter of the calendar year, the relevant RECB must inform the IECRE Secretariat of the selection as soon as possible (prior to the issuance of the first statement, certificate, or report).

If notice is not provided according to the guidance in this clause, the associated fees (as per Clauses 3.2 to 3.9) for that calendar year will be invoiced.

4 PV Certificate, Statement & Report fees (effective from 01 January 2024)

4.1 Reserved

4.2 Extraordinary Annual Coverage Fee

Effective as of 01 January 2021, RECBs and REIBs are required to pay an extraordinary coverage fee of CHF 4 600 each, every calendar year. As a result, RECB/REIBs receive the privilege of issuing any amount of certificates without additional cost during that year (a flat fee for issuing certificates during each year of participation).
5 ME Certificate, Statement & Report fees (effective from 01 January 2024)

5.1 Test Report CHF 1 150
5.2 Feasibility Statement CHF 575
5.3 Conformity Statement CHF 1 150
5.4 Component Certificate CHF 1 725
5.5 Prototype Certificate CHF 2 875
5.6 Type Certificate CHF 4 025
5.7 Project Certificate CHF 5 750
5.8 Publication CHF 115
5.9 Form CHF 115

6 Payment of Invoices

All invoiced fees shall be paid by the due date indicated on the issued invoice. If not, a payment reminder will be sent to the invoiced party requesting payment within 15 calendar days from the date of the reminder.

If the original invoice is not paid within 15 calendar days of the payment reminder, an overdue invoice will be issued, assessing a 15% late fee of the original invoice amount. All invoices are to be paid within 30 calendar days from date of the overdue invoice.

If the original invoice and the overdue invoice are not paid within 30 calendar days of issuance of the overdue invoice, a final invoice will be issued, assessing an additional 15% late fee of the original invoice amount (total of 30%). All invoices are to be paid within 30 calendar days from the date of the final notice.

If all previous invoices are not paid within 30 calendar days of final invoice issuance, the invoiced party will no longer be eligible to participate in the IECRE. It should be noted that during the suspension period, the RECB, REIB, RETL or RECTF will not be allowed to issue any IECRE certificates, statements, or reports.

Suspension of the RECB, REIB, RETL or RECTF for a non-payment will be immediately removed when the RECB, REIB, RETL or RECTF has fully paid its overdue invoice(s), including any assessed late payment fees.

The following table provides a summary of the invoice late payment process.

<table>
<thead>
<tr>
<th>Payment Past Due</th>
<th>Action/Penalty</th>
</tr>
</thead>
<tbody>
<tr>
<td>1-15 Days</td>
<td>Reminder Sent</td>
</tr>
<tr>
<td>16-45 Days</td>
<td>15% late fee assessed to the invoiced amount</td>
</tr>
<tr>
<td>46-75 Days</td>
<td>Additional 15% late fee assessed to the invoiced amount (total of 30%)</td>
</tr>
<tr>
<td>76 Days</td>
<td>Suspension of the RECB, REIB, RETL or RECTF</td>
</tr>
</tbody>
</table>

7 Remedial Action in the Event of a Funding Shortfall

If there is a need for funding a revenue shortfall, the specific Sector(s) which incurs the shortfall in revenue, by missing their projections as outlined in the approved budget, will be further invoiced for the necessary funds to meet their commitments. The Member Bodies participating in the given Sector(s) having such a revenue deficiency will be invoiced equally. For example, if the WE Sector misses its commitment by CHF 12 000, then the 12 Member Bodies participating in the WE Sector will each be invoiced CHF 1 000.

8 Applicability of Fees

All fees are enforced on the basis of the valid version of OD-001 at the time of applying for the service to IECRE.
9 Promotion Budget Spending

In the absence of an alternative plan approved by the REMC, the available promotion/communication budget shall be split as an equal amount for each sector that requests use. The “available promotion/communication budget” is understood as the amount budgeted less promotion costs estimated by the IECRE Secretariat.

10 IECRE Account

<table>
<thead>
<tr>
<th>Bank</th>
<th>UBS SA Genève</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Case postale, CH-1211 Genève 2</td>
</tr>
<tr>
<td>Beneficiary name</td>
<td>Commission Electrotechnique Internationale</td>
</tr>
<tr>
<td>Address of the Beneficiary</td>
<td>Case postale 131, CH-1211 Genève 20</td>
</tr>
<tr>
<td>Account N°</td>
<td>CH96 0024 0240 FP10 2204 2</td>
</tr>
</tbody>
</table>